STATE OF OKLAHOMA WORKERS' COMPENSATION COMMISSION 2018 ANNUAL REPORT





STATE OF OKLAHOMA WORKERS' COMPENSATION COMMISSION

1915 N. Stiles Avenue Oklahoma City, OK 73105-4918 405-522-3222

Chairman Mark Liotta Commissioner Jordan Russell Commissioner Megan Tilly Commissioner

Hopper Smith Executive Director

June 30, 2019 Oklahoma City, OK

The Honorable Kevin Stitt Governor of Oklahoma

The Honorable Greg Treat President Pro Tempore of the Oklahoma Senate

The Honorable Charles McCall Speaker of the Oklahoma House of Representatives

Governor Stitt, President Pro Tempore Treat, Speaker McCall, and Legislators:

It is my privilege to submit to you the 2018 Annual Report of the Oklahoma Workers' Compensation Commission.

This publication is issued by the Oklahoma Workers' Compensation Commission as authorized by 85A O.S. 2013 §§25 and 101, and is located at the following website: https://www.ok.gov/wcc/. This publication has been submitted in compliance with 65 O.S. 2013 §3-114.

Respectfully,

Chairman Mark Liotta Commissioner Oklahoma Workers' Compensation Commission

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Introduction

Oklahoma's workers' compensation system was reformed by SB 1062 in 2013, establishing the Administrative Workers' Compensation Act (Act). The Act created the Workers' Compensation Commission (WCC) which became fully operational effective February 1, 2014. This report reviews the effort and results of the WCC in calendar year 2018.

The statistics reported here reflect the Commission's fifth full year of activity. Again, we have seen an increase in the number of CC-Form-3 filings, but not as drastic an increase as occurred between prior years. Overall, filings continue to be significantly lower than those of the Workers' Compensation Court in the Court's last year of reporting.

The mission of the Workers' Compensation Commission is to serve the public by providing a fair and unbiased forum for the hearing, and efficient processing, of worker injury claim disputes, to evaluate and administer own-risk applications, and to ensure statutory compliance of those required to provide workers' compensation coverage.

The Workers' Compensation system exists to assist the injured worker in his or her ability to return to work with the most limited interruption to work and family life as possible. The goals of the reform were to provide for injured workers, while reducing costs in the overall system, thereby encouraging job growth in Oklahoma.

In 2018, the Commission adopted emergency rules and forms pertaining to the enactment of SB1249 (2018) creating the Affidavit of Exempt Status CC-Form 36A (to replace the Certificate of Non-coverage), and Cancellation of an Affidavit of Exempt Status CC-Form 36C. The Commission also set up an electronic filing system for this form. This new process has increased efficiency and improved service for our customers. Under the old process of paper filing, it could take up to a week to get a completed certificate to a customer. With the new electronic filing system, many customers receive their Affidavit the same day as filed.

To fulfill its mission, the Commission conducts a multitude of functions. It receives notices and filings, certifies documents, prepares and transmits records on appeal, and provides public access to Commission files and records, as authorized by law. The Commission processes requests and notices for claims, settlements, hearings, trials, dockets and orders. It maintains Worker's Compensation insurance proof of coverage records for employers and regulates and monitors self-insured employers, group self-insurance associations and third-party administrators. The Commission also provides counseling services to its stakeholders.



The Commissioners are pleased to report the implementation of Electronic Data Interchange (EDI) as of September 1, 2018, according to the provisions of 85A O.S. §101. The new EDI system provides a more efficient way to submit the injury claim information which was previously reported on paper forms. The Commission's adoption of EDI makes Oklahoma the first state to implement Release 3.1 of the IAIABC Standards for EDI. Forms previously used to report claim information that have been superseded by EDI include the CC-Form-2, CC-Form-2A, CC-Form-2A Extension, and CC-Form-4.

The fundamental goal of EDI is to provide more accurate, meaningful, and comprehensive information to the WCC's trading partners (insurers, self-insured employers, and claim administrators), improving claims management and cost containment. EDI accomplishes this goal by providing a means of reporting, which facilitates a more consistent provision of payment and other claims information. The new reporting framework will promote accountability to report accurately and timely, improving the efficacy of the data for all trading partners.

The Commissioners celebrate the reaching of this milestone with great optimism and look forward to working with all trading partners in implementing and refining this new reporting system to the advantage of all.

Following in the footsteps of its Electronic Data Interchange implementation, the Commission is continuing modernization efforts with a replacement of its antiquated case system, the Workers' Compensation Information System (WCIS.) The new system is named CaseOK, and already features numerous improvements in staff workflow and public access in order to help the agency more efficiently serve the public, with more to come. Currently, the CaseOK system facilitates the Commission's application process for Self-Insured Employers, Group Self-Insurance Associations, and Third-Party Administrators, as well as the filling process for the Affidavit of Exempt Status. The project is currently planned for two phases, with the first phase set to complete by the end of 2019.



Commissioner's Duties

The Workers' Compensation Commission is composed of three full-time Commissioners, appointed by the Governor and confirmed by the State Senate to staggered terms. The Commissioners are state officers precluded from having any other employment except as may be permitted by law. The Chair of the Commission is appointed by the Governor from among the Commission members.

Commissioners perform adjudicative, administrative and regulatory functions. Those functions include: (1) hearing appeals from decisions and awards of the agency's Administrative Law Judges (ALJ); (2) promulgating rules necessary for the administration and operation of the Commission; (3) regulating employers which self-insure their workers' compensation obligations; (4) maintaining the Commission's Vocational Rehabilitation Registry and lists of Independent Medical Examiners and Medical Case Managers; (5) managing the agency's budget; (6) interacting regularly with the Self-insurance Guaranty Fund Board and workers' compensation advisory bodies to the Commission; (7) participating in educational programs, including an annual, Commission- sponsored workers' compensation conference; and (8) such other matters necessary for administration and operation of the agency. Details about the Commissioner's appellate and self- insurance functions follow.

AppellateProcess

The three Commissioners act as an appellate tribunal (known as an "en banc panel") in appeals from decisions of the Commission's Administrative Law Judges (ALJs). Unlike most other administrative agencies whose decisions are appealed to the district court, decisions of the panel are appealed directly to the Oklahoma Supreme Court. The law does not allow direct appeals from a ruling by an ALJ to the Supreme Court.

Panel review by the Commissioners involves examining the trial record; reviewing written arguments required to be submitted by the parties; hearing the parties' oral arguments; and deliberating confidentially as permitted by law to render a decision on the appeal. A just and fair consideration of each appeal may require hours of study and demands careful consideration and preparation. The trial record may be quite lengthy depending upon the disputed issues and the documentary and medical evidence submitted. Each Commissioner is responsible for reviewing all authority cited in the written arguments to evaluate proper interpretation and application of the law. The panel of Commissioners deliberates confidentially to discuss each case file twice before each appeals hearing and meets on each case before voting and announcing a decision.

The panel may reverse, modify, or affirm decisions or awards made by the Commission's ALJs or remand the matter to the ALJ for the purpose of taking additional evidence. The decision is pronounced by written order voted upon publicly. In 2018, the Commission heard and issued 77 orders and appeals. Appeals are set promptly for consideration upon completion of the record and receipt of written arguments from the parties as required by Commission rules.



Self-insurance Regulation

One of the means available to an employer to satisfy its workers' compensation obligations is to qualify as a self-insurer, either as an individual self-insured employer or as part of an approved group association. To self-insure an applicant must furnish satisfactory proof to the Commission of its financial ability to pay compensation from its own pocket, and post an established amount of security (usually a letter of credit or surety bond) with the Commission for the employer's performance of its self-insurance obligations. Currently, the Commission regulates 168 individual self-insured employers and 6 group self-insurance associations.

The Commissioners' self-insurance regulatory functions include: (1) taking necessary action upon notice of a self-insured's failure or inability to pay its workers' compensation obligations for any reason, including bankruptcy or other insolvency proceeding; (2) reviewing requests to reduce or release a security deposit posted with the Commission after an individual self-insured employer voluntarily leaves self-insurance; and (3) reviewing requests to release surplus funds to member employers of a group self-insurance association. These issues require the Commissioners to consider the impact of legal filings, closely review financial statements and other documents, evaluate existing and potential injury losses, and assess any anticipated monetary deficits.

The Commissioners' careful oversight of regulatory issues helps maintain the integrity of selfinsurance as an alternative to workers' compensation insurance coverage and minimizes resort to the Self-insurance Guaranty Fund (SIGF). The SIGF is a statutorily created fund supported by assessments to address an impaired self-insured's workers' compensation obligations in the event the security posted with the Commission is not sufficient to pay all claims.

The Permitting Services Division assists the Commissioner's in the regulating of self-insureds through a variety of activities, including but not limited to, processing new and renewal applications for self-insured employers and group self-insurance associations. This process involves an intense review of the financial statements submitted along with each application to ensure the financial soundness of each applicant and making recommendations to the Commission's executive director regarding approval or disapproval of applications. The division is also responsible for calculating various rates for assessments imposed by Title 85A of the Oklahoma Statutes.



Commissioners



MARK LIOTTA

Mark Liotta was appointed to the Oklahoma Workers' Compensation Commission (WCC) effective May 27, 2015, and was appointed Chairman of the WCC effective August 25, 2017. Before his appointment to the Workers' Compensation Commission, he most recently served as the Chief Deputy to the Tulsa Board of County Commissioners.

Commissioner Liotta served seven years in Oklahoma's 45th Infantry Brigade as an Infantry Platoon Leader, earning two Oklahoma Commendation medals. He graduated first in his class from officer candidate school, Oklahoma Class 32, 1987.

Mark worked 18 years in the private sector for a pipeline services company as a field technician and crew leader, construction and manufacturing worker, quality manager, warehouse shipping clerk, and as human resources manager. Here he managed all aspects of workers' compensation administration and insurance, safety training, and risk management.

From 1996 to 2006, Mark was elected to five terms in the Oklahoma House of Representatives, where he served in six different leadership positions, including Chairman of the Republican Caucus, Presiding Officer of the House floor, and on the Speaker's senior leadership team.

He also served as Chairman of the House Appropriations Subcommittee on General Government and Transportation where he was responsible for 20 state agency budgets, totaling \$490 million.

A native Tulsan, Mark and his wife Alice have five grown children, and have hosted three foreign exchange students from China. Mark spends his free time painting, and as owner, operator and ranch hand of the L7 Cattle Ranch in Creek County, Oklahoma where he raises Black Angus/Simmental calves.

EDUCATION

• Bachelor of Science, Southern Nazarene University (Management of Human Resources)

CIVIC INVOLVEMENT AND LEADERSHIP

Current:

- Chairman, Oklahoma Developmental Disabilities Council, 20 years, appointed by both Republican and Democrat Governors
- Vice Chair, Speaker's Ball Committee
- Treasurer, Board of Directors, Oklahoma Israel Exchange

Previous:

- Vice Chair, Oklahoma State Capitol Preservation Commission
- Chairman, INCOG Transportation Policy Committee
- Commissioner, Tulsa Metropolitan Area Planning Commission
- Appointee, Oklahoma State Advisory Committee, U.S. Commission on Civil Rights
- Member, Regional Advisory Board, America/Israel Friendship League
- Chairman of the Board, Oklahoma Employees Insurance and Benefits
 Board



Commissioners



JORDAN K. RUSSELL

Jordan K. Russell is an attorney who joined the Commission after serving three legislative sessions as policy director and counsel to former Oklahoma Speaker of the House Jeffrey W. Hickman. Prior to his time at the State Capitol, Jordan had a successful law practice in the downtown Oklahoma City office of Gungoll, Jackson, Box & Devoll, P.C.

Jordan also previously worked as a legislative assistant to Oklahoma's U.S. Congressman Frank D. Lucas in his Washington, D.C. office where he worked on issues involving healthcare, labor, social security, and disability and ERISA.

Commissioner Russell and his wife Jessica reside in rural Oklahoma County with their two children and enjoy attending their kids' school and sporting events at Crossings Christian School and attending Oklahoma State University football and basketball games as a family. As a fourth-generation Freedom, Oklahoma native, Jordan enjoys spending time hunting, fishing, and relaxing on his family's cattle ranch in rural Woods County, Oklahoma, and volunteering and providing pro bono legal advice for economic development efforts and philanthropic organizations in his hometown.

Jordan was appointed to the Oklahoma Workers' Compensation Commission by Governor Mary Fallin effective October 4, 2016, and was reappointed to the Commission by Governor Kevin Stitt to a term ending in August 2025.

ADMISSIONS

- Supreme Court of Oklahoma
- U.S. District Court for the Western District of Oklahoma
- U.S. District Court for the Eastern District of Oklahoma
- U.S. District Court for the Northern District of Oklahoma

EDUCATION

- JD, University of Oklahoma College of Law, Order of the Coif, Dean's List
- BS, Oklahoma State University, Major: Agricultural Economics, *Magna Cum Laude*, Top Graduate of College of Agricultural Sciences and Natural Resources

CIVIC INVOLVEMENT AND LEADERSHIP

- Crossings Community Church
- Sirloin Club of Oklahoma Board of Directors
- I9 Youth Sports Volunteer Coach
- National and Oklahoma FFA Alumni Association Lifetime Member
- American Legislative Exchange Council Fellow
- Oklahoma State University Alumni Association Lifetime Member



Commissioners



MEGAN TILLY

Megan Tilly most recently served managing the multi-county grand jury unit of the state attorney general's office, which is made up of attorneys, investigators and support staff. The grand jury investigates and prosecutes crimes across the state, including public corruption, white collar crime, and government. She assisted the workers' compensation, insurance, and Social Security fraud unit of the attorney's general's office in criminal fraud investigations through legal research and counsel.

Before working for the state attorney general's office, Megan worked as an assistant district attorney in the Ninth District, handling all felony drug cases in Payne County and prosecuting all types of felonies in Logan County.

Megan and her husband Matt live in Oklahoma City.

Megan was appointed to the Oklahoma Workers' Compensation Commission by Governor Mary Fallin effective August 28th, 2017.

EDUCATION

- JD, University of Oklahoma College of Law, Order of the Barristers and was awarded the American Jurisprudence Award in Criminal Procedure.
- BS, Washington and Lee University, Major: Political Science & Government & History.

CIVIC INVOLVEMENT AND LEADERSHIP

- Rotary Club of Oklahoma City, Member.
- Lawyer's chapter of the Federalist Society of Oklahoma City, Member.
- Junior League of Oklahoma City, Member.
- Oklahoma City Zoo, Board Member.
- Central Oklahoma Humane Society, Board Member.



Executive Director



Executive Director Hopper Smith manages, and develops all operations and regulatory divisions of the WCC. Additionally, the Executive Director acts as a liaison between the three commissioners, and can represent the Commission to other state agencies. Over the last decade Hopper has served in a number of roles including: Director of Government Relations for Chesapeake Energy; President of OCPA; and Director of Public Affairs for Devon Energy. In 2012 Hopper was promoted to Brigadier General and assigned as Assistant Adjutant General, Oklahoma National Guard. Hopper received his BS in Geology from Oklahoma State University in 1986. He earned an MS in Strategic Intelligence from National Defense Intelligence College at the Defense Intelligence Agency in 2007. He earned his second MS in Strategic Studies from the US Army War College in 2010

Administrative Law Judges



Judge T. Shane Curtin is the Chief Administrative Law Judge of the Workers' Compensation Commission. Prior to being becoming an ALJ, Judge Curtin spent 23 years in private practice specializing in workers' compensation defense. Judge Curtin obtained his Juris Doctorate from the University of Oklahoma in 1991. Judge Curtin earned his undergraduate degree in Human Resource Management from University of Central Oklahoma in 1988



Judge Tara Inhofe graduated from Tulsa University with a Bachelor of Arts and obtained her Juris Doctorate from Tulsa University Law School. Judge Inhofe's primary practice area since graduating from law school has been workers' compensation. She has worked both as a claimant attorney and respondent attorney. Before being appointed in May 2014, she was Staff Counsel for The Hartford.



Administrative Law Judges



Judge Michael T. Egan graduated from the University of Oklahoma in 1980 with a Bachelor of Arts degree in Journalism and from Oklahoma City University in 1984 with a Juris Doctorate. He is a former managing attorney of the Oklahoma State Insurance Fund. Judge Egan is a member of the Oklahoma Bar Association and the Oklahoma Bar Association Workers' Compensation Section.



Judge P. Blair McMillin received her bachelor's degree in Journalism and Public Relations from Oklahoma State University with honors. Judge McMillin graduated from Oklahoma City University School of Law, Cum Laude. For the past seven years, her experience has been focused on workers' compensation in general litigation, claimant practice, and as a Commission ALJ. Judge McMillin is a member of the Oklahoma Trial Lawyers Association, Oklahoma County Bar Association, and Workers' Compensation Section. Her professional licenses include the Oklahoma State Bar, the United States District Court, Western District of Oklahoma, the Supreme Court, and all other Courts of the Chickasaw Nation.

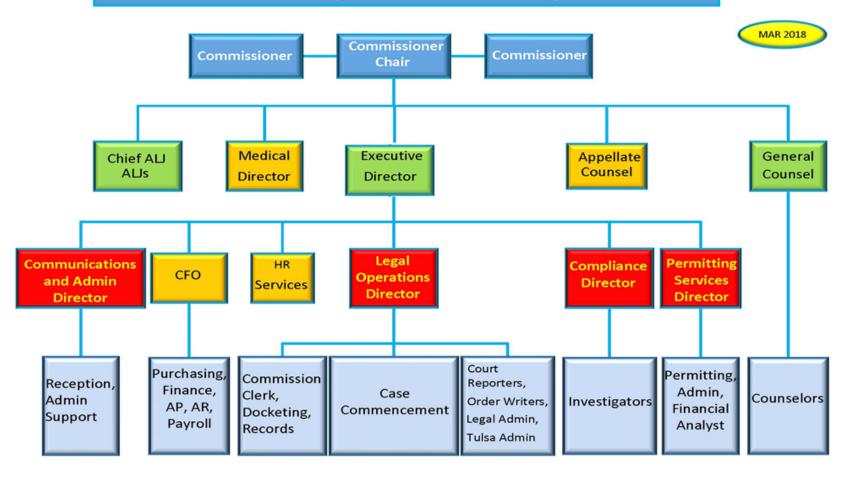


Judge Molly H. Lawyer received her Bachelor of Science in Political Science from the University of Oklahoma in 2005. She then attended the University of Tulsa Law School and received her Juris Doctorate in 2008. She practiced as a workers' compensation defense attorney representing insurance companies and selfinsured employers in Oklahoma from 2008 until 2017. She served as an executive board member and Chairperson for 2012-2013 of the Association Workers' Compensation Section. She was Oklahoma Bar also the 2010-2011 Chairperson of the Tulsa County Bar Association Young Lawyers Division as well as a previous executive board member for The Tulsa Women Lawyers Association. She was appointed an Administrative Law Judge for the Oklahoma Workers' Compensation Commission in September of 2017 and currently presides in Tulsa and Oklahoma City.



WCC ORGANIZATIONAL CHART

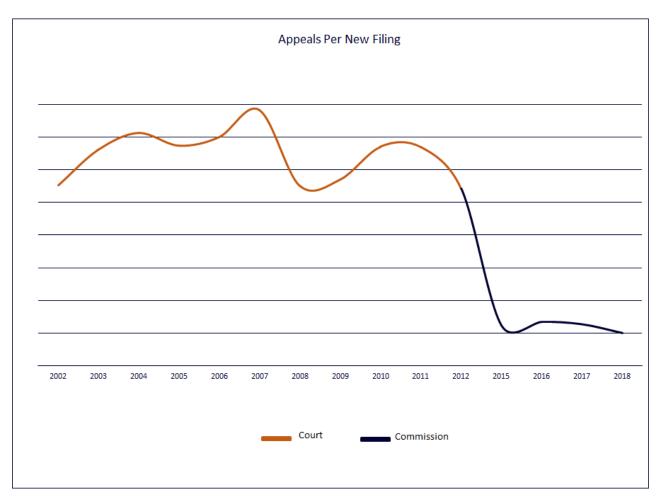
Oklahoma Workers' Compensation Commission Organization Chart





The chart on the right shows appeals per claim filing. Although the total number of claim filings decreased under the old court system, we can see that in the Court's last year of reporting, the number of claim filings per appeal was almost the same as in 2002. Appeals are costly for both the claimant and respondent; for the claimant who ultimately succeeds, he or she must wait significantly longer for benefits. Even a delay of only a few weeks to docket the appeal can be significant for an injured worker who is struggling financially and unable to work.1

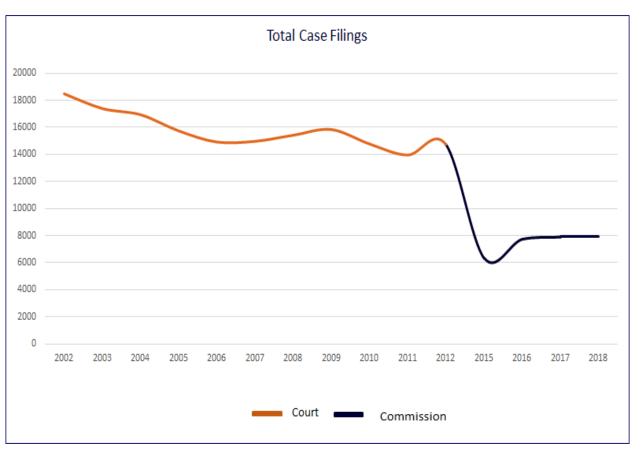
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¹ 2013 Data is omitted because the Workers' Compensation Court did not re-lease an Annual Report for that year. 2014 data is omitted because the Commission had not yet been in operation for a significant period of time, so it is not representative of a typical year. Total Commission claim filings in 2014 were 3,541 and there were no appeals filed with the Commission during that year. The Court last released an Annual Report for 2012



This chart shows the total number of cases filed per year from 2002 to 2018. With the creation of the Workers' Compensation Commission there is a total reduction in cases being filed by nearly one half. The drop in the amount of cases per year may be attributed to a reduction of fraudulent and frivolous claims brought about by the adoption of the 2013 reforms. This precipitous drop in overall cases allows the WCC to focus its limited resources on the cases that have remained in the system. This reduction in volume creates an environment where cases spend less time in the system and brings about more timely resolutions to these disputes. 1



¹ 2013 Data is omitted because the Workers' Compensation Court did not release an Annual Report for that year. 2014 data is omitted because the Commission had not yet been in operation for a significant period of time, so it is not representative of a typical year. Total Commission claim filings in 2014 were 3,541 and there were no appeals filed with the Commission during that year. The Court last released an Annual Report for 2012

One of the WCC's most important goals is to dispose of cases in a timely manner to get injured workers back to work and ensure that they receive the compensation owed to them by law.

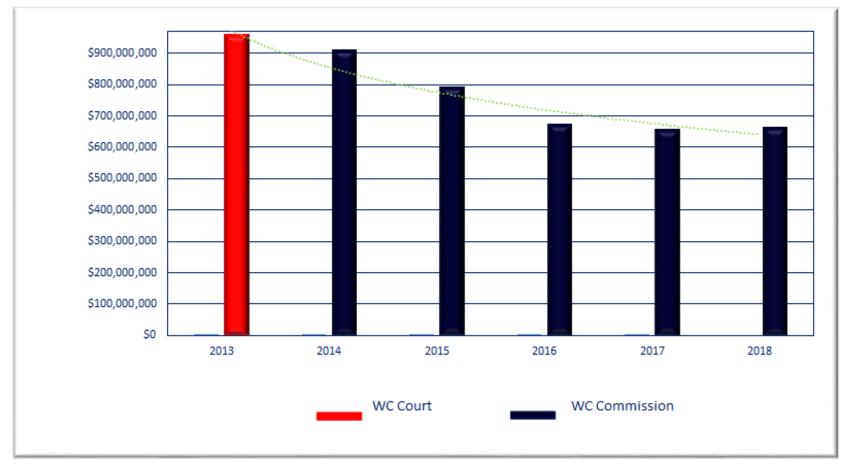


are necessary to accomplish this goal, but more often, disputes can be settled without a timeconsuming process of hearing testimony, introducing exhibits, etc. that go into a formal hearing. This chart shows dispositions of cases dating back to the Commission's inception, and demonstrates that the vast majority of cases are resolved without the need for a hearing.





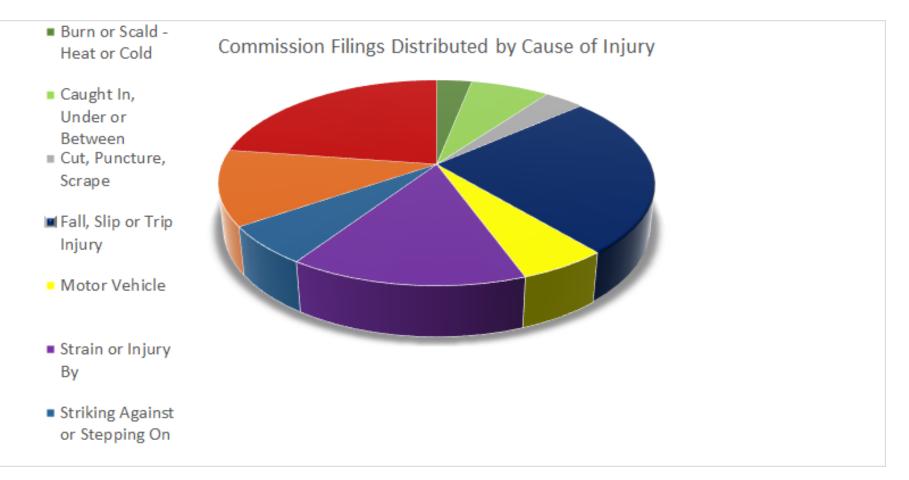




Total Workers' Compensation Premiums

The chart above shows total Workers' Compensation premiums written in the state. We can see a clear downward trend since the institution of reforms beginning February 1, 2014.





The pie chart on the above shows how the 7,935 Commission filings are distributed by cause of injury. It is not uncommon for falls, slips, and trips to account for a large percentage of work injuries. The "cause" of the injury describes the actual event or object that led to the injury.



Appendix 1

Oklahoma Administrative Workers' Compensation Act: Maximum Weekly Compensation Rates

| Injury/Death Date | TTD ¹ | PPD ² | PTD ³ | Death ⁴ |
|---------------------|------------------|------------------|------------------|--------------------|
| 11/01/17—10/31/18 | \$590.63 | \$323 | \$843.75 | See footnote 4 |
| 11/01/16 - 10/31/17 | \$596.03 | \$323 | \$851.47 | See footnote 4 |
| 11/01/15 - 10/31/16 | \$589.33 | \$323 | \$841.90 | See footnote 4 |
| 11/01/14 - 10/31/15 | \$571.55 | \$323 | \$816.50 | See footnote 4 |
| 02/01/14 - 10/31/14 | \$561 | \$323 | \$801 | See footnote 4 |

1 Temporary total disability is 70% of the employee's average weekly wage, not to exceed 70% of the state's average weekly wage

2 Permanent partial disability is 70% of the employee's average weekly wage, not to exceed \$323 per week

3 Permanent total disability is 70% of the employee's average weekly wage, not to exceed 100% of the state's average weekly wage

4 The maximum aggregate weekly benefits payable to all beneficiaries shall not exceed 100% of the average weekly wage of the deceased employee or 100% of the state's average weekly wage, whichever is less.

Appendix 2-Reported Compensation & Other Payments (Form 4 data)



| Company Name | Premium Amount | Percent |
|-------------------------------------|----------------|---------|
| COMPSOURCE MUT INS CO | 201,082,756 | 30.35% |
| NATIONAL AMER INS CO | 24,174,358 | 3.65% |
| ZURICH AMER INS CO | 17,023,970 | 2.57% |
| TRAVELERS IND CO OF AMER | 14,475,401 | 2.19% |
| STONETRUST COMMERCIAL INS CO | 12,432,284 | 1.88% |
| BITCO GEN INS CORP | 12,057,401 | 1.82% |
| ZENITH INS CO | 9,499,954 | 1.43% |
| AMERICAN INTERSTATE INS CO | 8,585,950 | 1.30% |
| TRAVELERS PROP CAS CO OF AMER | 8,143,606 | 1.23% |
| SENTINEL INS CO LTD | 7,925,540 | 1.20% |
| TRAVELERS CAS INS CO OF AMER | 7,682,475 | 1.16% |
| LIBERTY INS CORP | 7,125,934 | 1.08% |
| GREAT AMER ALLIANCE INS CO | 7,048,177 | 1.06% |
| TECHNOLOGY INS CO INC | 6,925,553 | 1.05% |
| INDEMNITY INS CO OF NORTH AMER | 6,918,051 | 1.04% |
| CHARTER OAK FIRE INS CO | 6,805,407 | 1.03% |
| AMERICAN ZURICH INS CO | 6,669,412 | 1.01% |
| OHIO SECURITY INS CO | 6,589,794 | 0.99% |
| TRAVELERS IND CO | 6,552,541 | 0.99% |
| OLD REPUBLIC INS CO | 6,472,965 | 0.98% |
| AMTRUST INS CO OF KS INC | 6,395,168 | 0.97% |
| NEW HAMPSHIRE INS CO | 6,304,120 | 0.95% |
| ZURICH AMER INS CO OF IL | 6,001,332 | 0.91% |
| BERKSHIRE HATHAWAY HOMESTATE INS CO | 5,884,928 | 0.89% |
| FEDERAL INS CO | 5,837,446 | 0.88% |
| TWIN CITY FIRE INS CO CO | 5,659,891 | 0.85% |
| ARCH INS CO | 5,560,830 | 0.84% |
| ACCIDENT FUND INS CO OF AMER | 5,480,018 | 0.83% |
| TRAVELERS IND CO OF CT | 5,447,083 | 0.82% |
| STARR IND & LIAB CO | 5,244,557 | 0.79% |
| ARGONAUT INS CO | 4,916,517 | 0.74% |



| Company Name | Premium Amount | Percent |
|-------------------------------------|----------------|---------|
| LIBERTY MUT FIRE INS CO | 4,869,565 | 0.74% |
| SECURITY NATL INS CO | 4,811,939 | 0.73% |
| NATIONAL FIRE INS CO OF HARTFORD | 4,673,035 | 0.71% |
| HARTFORD UNDERWRITERS INS CO | 4,646,668 | 0.70% |
| GREAT MIDWEST INS CO | 4,608,008 | 0.70% |
| HARTFORD FIRE INS CO | 4,602,375 | 0.69% |
| HARTFORD ACCIDENT & IND CO | 4,598,633 | 0.69% |
| FARMINGTON CAS CO | 4,505,578 | 0.68% |
| OLD GLORY INS CO | 4,455,042 | 0.67% |
| FEDERATED MUT INS CO | 4,418,817 | 0.67% |
| CHUBB IND INS CO | 4,279,402 | 0.65% |
| VALLEY FORGE INS CO | 3,960,277 | 0.60% |
| TRI STATE INS CO OF MN | 3,620,303 | 0.55% |
| HARTFORD INS CO OF THE MIDWEST | 3,529,835 | 0.53% |
| STANDARD FIRE INS CO | 3,520,027 | 0.53% |
| IMPERIUM INS CO | 3,507,017 | 0.53% |
| ACE AMER INS CO | 3,353,137 | 0.51% |
| WESCO INS CO | 3,197,169 | 0.48% |
| STATE FARM FIRE & CAS CO | 3,047,469 | 0.46% |
| HARTFORD CAS INS CO | 3,040,283 | 0.46% |
| LM INS CORP | 2,930,561 | 0.44% |
| NATIONAL UNION FIRE INS CO OF PITTS | 2,880,322 | 0.43% |
| FIRSTCOMP INS CO | 2,777,560 | 0.42% |
| ACCIDENT FUND GEN INS CO | 2,750,406 | 0.42% |
| CONTINENTAL CAS CO | 2,750,062 | 0.42% |
| GRANITE STATE INS CO | 2,641,831 | 0.40% |
| PHOENIX INS CO | 2,607,822 | 0.39% |
| EMPLOYERS MUT CAS CO | 2,499,793 | 0.38% |
| EVEREST NATL INS CO | 2,454,525 | 0.37% |
| FIRST LIBERTY INS CORP | 2,440,641 | 0.37% |



| Company Name | Premium Amount | Percent |
|--------------------------------|----------------|---------|
| AMERICAN CAS CO OF READING PA | 2,413,731 | 0.36% |
| MILFORD CAS INS CO | 2,240,668 | 0.34% |
| MID CENTURY INS CO | 2,221,441 | 0.34% |
| ACCIDENT FUND NATL INS CO | 2,211,466 | 0.33% |
| TRIANGLE INS CO INC | 2,207,816 | 0.33% |
| NEW YORK MARINE & GEN INS CO | 2,191,482 | 0.33% |
| AMERISURE MUT INS CO | 2,160,036 | 0.33% |
| INSURANCE CO OF THE WEST | 2,130,089 | 0.32% |
| TRANSPORTATION INS CO | 1,993,157 | 0.30% |
| GREAT WEST CAS CO | 1,952,778 | 0.29% |
| CONTINENTAL INS CO | 1,942,166 | 0.29% |
| EMPLOYERS INS CO OF WAUSAU | 1,930,750 | 0.29% |
| TRAVELERS CAS & SURETY CO | 1,920,211 | 0.29% |
| AMERICAN FIRE & CAS CO | 1,806,455 | 0.27% |
| TRUCK INS EXCH | 1,765,363 | 0.27% |
| BROTHERHOOD MUT INS CO | 1,737,613 | 0.26% |
| SENTRY CAS CO | 1,721,545 | 0.26% |
| OLD REPUBLIC GEN INS CORP | 1,674,733 | 0.25% |
| BERKLEY REGIONAL INS CO | 1,654,121 | 0.25% |
| NATIONWIDE AGRIBUSINESS INS CO | 1,597,900 | 0.24% |
| EMPLOYERS PREFERRED INS CO | 1,584,235 | 0.24% |
| CHURCH MUT INS CO | 1,580,254 | 0.24% |
| PRAETORIAN INS CO | 1,488,329 | 0.22% |
| REDWOOD FIRE & CAS INS CO | 1,467,765 | 0.22% |
| ELECTRIC INS CO | 1,437,801 | 0.22% |
| BERKLEY NATL INS CO | 1,396,443 | 0.21% |
| UNITED WI INS CO | 1,358,034 | 0.21% |
| ACADIA INS CO | 1,294,289 | 0.20% |
| GRAIN DEALERS MUT INS CO | 1,266,576 | 0.19% |
| STATE NATL INS CO INC | 1,243,838 | 0.19% |



| - | | |
|----------------------------------|----------------|---------|
| Company Name | Premium Amount | Percent |
| FIREMENS INS CO OF WASHINGTON DC | 1,239,416 | 0.19% |
| UNION INS CO | 1,221,783 | 0.18% |
| BITCO NATL INS CO | 1,196,806 | 0.18% |
| STARSTONE NATL INS CO | 1,193,643 | 0.18% |
| VANLINER INS CO | 1,180,598 | 0.18% |
| WEST AMER INS CO | 1,168,331 | 0.18% |
| ARGONAUT MIDWEST INS CO | 1,166,815 | 0.18% |
| CONTINENTAL IND CO | 1,163,327 | 0.18% |
| XL SPECIALTY INS CO | 1,122,544 | 0.17% |
| UNITED STATES FIRE INS CO | 1,113,178 | 0.17% |
| INSURANCE CO OF THE STATE OF PA | 1,109,708 | 0.17% |
| COMMERCE & INDUSTRY INS CO | 1,092,903 | 0.16% |
| SAFETY NATL CAS CORP | 1,071,462 | 0.16% |
| FARMERS INS EXCH | 1,056,307 | 0.16% |
| FEDERATED RESERVE INS CO | 1,048,977 | 0.16% |
| XL INS AMER INC | 988,658 | 0.15% |
| MANUFACTURERS ALLIANCE INS CO | 953,494 | 0.14% |
| PHARMACISTS MUT INS CO | 937,740 | 0.14% |
| MIDWEST INS CO | 893,486 | 0.13% |
| AMERICAN GUAR & LIAB INS | 876,726 | 0.13% |
| NORGUARD INS CO | 873,954 | 0.13% |
| EMPLOYERS ASSUR CO | 845,545 | 0.13% |
| SENTRY INS A MUT CO | 841,399 | 0.13% |
| TRIUMPHE CAS CO | 816,154 | 0.12% |
| BENCHMARK INS CO | 807,866 | 0.12% |
| PROTECTIVE INS CO | 776,403 | 0.12% |
| FEDERATED SERV INS CO | 731,183 | 0.11% |
| GREAT NORTHERN INS CO | 728,312 | 0.11% |
| CRUM & FORSTER IND CO | 685,578 | 0.10% |
| GREAT AMER INS CO OF NY | 680,135 | 0.10% |
| FARMLAND MUT INS CO | 673,644 | 0.10% |



| Company Name | Premium Amount | Percent |
|--------------------------------------|----------------|---------|
| GRAY INS CO | 658,990 | 0.10% |
| SUNZ INS CO | 635,477 | 0.10% |
| SOMPO AMER INS CO | 628,944 | 0.09% |
| FIRE INS EXCH | 600,530 | 0.09% |
| PENNSYLVANIA MANUFACTURERS ASSOC INS | 579,442 | 0.09% |
| UNION INS CO OF PROVIDENCE | 573,444 | 0.09% |
| OHIO CAS INS CO | 572,340 | 0.09% |
| PACIFIC IND CO | 562,136 | 0.08% |
| NATIONAL LIAB & FIRE INS CO | 518,342 | 0.08% |
| HDI GLOBAL INS CO | 517,052 | 0.08% |
| GUIDEONE MUT INS CO | 512,570 | 0.08% |
| NATIONAL CAS CO | 508,813 | 0.08% |
| SENTRY SELECT INS CO | 489,342 | 0.07% |
| REGENT INS CO | 480,115 | 0.07% |
| ROCKWOOD CAS INS CO | 479,751 | 0.07% |
| MIDWEST BUILDERS CAS MUT CO | 478,986 | 0.07% |
| QBE INS CORP | 477,705 | 0.07% |
| TOKIO MARINE AMER INS CO | 473,265 | 0.07% |
| CHUBB NATL INS CO | 456,430 | 0.07% |
| BERKSHIRE HATHAWAY DIRECT INS CO | 424,653 | 0.06% |
| STARNET INS CO | 424,605 | 0.06% |
| GREAT DIVIDE INS CO | 422,470 | 0.06% |
| AMERICAN COMPENSATION INS CO | 365,749 | 0.06% |
| CONTINENTAL WESTERN INS CO | 360,394 | 0.05% |
| AMGUARD INS CO | 351,349 | 0.05% |
| THE CINCINNATI CAS CO | 350,853 | 0.05% |
| STAR INS CO | 327,778 | 0.05% |
| MITSUI SUMITOMO INS CO OF AMER | 323,997 | 0.05% |
| EMCASCO INS CO | 318,801 | 0.05% |



| Company Name | Premium Amount | Percent |
|-----------------------------------|----------------|---------|
| RURAL TRUST INS CO | 313,629 | 0.05% |
| MEMIC IND CO | 280,862 | 0.04% |
| FOREMOST INS CO GRAND RAPIDS MI | 277,925 | 0.04% |
| COLUMBIA MUT INS CO | 271,001 | 0.04% |
| ASSOCIATION CAS INS CO | 261,220 | 0.04% |
| ALLMERICA FIN BENEFIT INS CO | 250,047 | 0.04% |
| THE CINCINNATI IND CO | 244,368 | 0.04% |
| NORTH RIVER INS CO | 239,352 | 0.04% |
| CENTRAL MUT INS CO | 229,720 | 0.03% |
| INTREPID INS CO | 225,879 | 0.03% |
| MARKEL INS CO | 224,912 | 0.03% |
| HANOVER AMER INS CO | 224,321 | 0.03% |
| GREAT AMER ASSUR CO | 219,448 | 0.03% |
| ADVANTAGE WORKERS COMP INS CO | 217,003 | 0.03% |
| CIMARRON INS CO INC | 214,948 | 0.03% |
| ACCIDENT INS CO INC | 213,334 | 0.03% |
| FEDERATED RURAL ELECTRIC INS EXCH | 205,604 | 0.03% |
| EMC PROP & CAS INS CO | 205,479 | 0.03% |
| VIGILANT INS CO | 205,274 | 0.03% |
| SAGAMORE INS CO | 201,669 | 0.03% |
| AMERISURE INS CO | 192,083 | 0.03% |
| RLI INS CO | 190,401 | 0.03% |
| COLUMBIA NATL INS CO | 181,137 | 0.03% |
| MERIDIAN SECURITY INS CO | 181,048 | 0.03% |
| ATLANTIC SPECIALTY INS CO | 178,975 | 0.03% |
| CAROLINA CAS INS CO | 171,305 | 0.03% |
| EXECUTIVE RISK IND INC | 161,026 | 0.02% |
| REPUBLIC UNDERWRITERS INS CO | 155,521 | 0.02% |
| TRUMBULL INS CO | 152,427 | 0.02% |
| ASHMERE INS CO | 151,293 | 0.02% |



| Company Name | Premium Amount | Percent |
|-----------------------------------|----------------|---------|
| CLEAR SPRING PROP & CAS CO | 139,328 | 0.02% |
| HANOVER INS CO | 138,123 | 0.02% |
| NOVA CAS CO | 136,284 | 0.02% |
| T H E INS CO | 136,223 | 0.02% |
| NORTH POINTE INS CO | 131,493 | 0.02% |
| GENERAL CAS CO OF WI | 129,917 | 0.02% |
| FLORISTS MUT INS CO | 125,721 | 0.02% |
| EVEREST PREMIER INS CO | 124,370 | 0.02% |
| OAK RIVER INS CO | 123,065 | 0.02% |
| MITSUI SUMITOMO INS USA INC | 120,674 | 0.02% |
| AMERICAN MINING INS CO | 117,423 | 0.02% |
| MASSACHUSETTS BAY INS CO | 103,745 | 0.02% |
| FRANK WINSTON CRUM INS CO | 100,536 | 0.02% |
| STATE AUTO PROP & CAS INS CO | 98,848 | 0.01% |
| PENNSYLVANIA MANUFACTURERS IND CO | 95,060 | 0.01% |
| CHEROKEE INS CO | 93,050 | 0.01% |
| BEARING MIDWEST CAS CO | 92,500 | 0.01% |
| GREAT AMER INS CO | 91,859 | 0.01% |
| STATE AUTOMOBILE MUT INS CO | 82,655 | 0.01% |
| AIG PROP CAS CO | 76,416 | 0.01% |
| NATIONAL SURETY CORP | 75,584 | 0.01% |
| PROPERTY & CAS INS CO OF HARTFORD | 75,106 | 0.01% |
| OBI NATL INS CO | 73,336 | 0.01% |
| AMERICAN AUTOMOBILE INS CO | 72,164 | 0.01% |
| EASTGUARD INS CO | 71,741 | 0.01% |
| WESTFIELD INS CO | 71,065 | 0.01% |
| SAFETY FIRST INS CO | 70,371 | 0.01% |
| FIREMANS FUND INS CO | 69,812 | 0.01% |
| NATIONAL INTERSTATE INS CO | 68,102 | 0.01% |
| AMERISURE PARTNERS INS CO | 64,403 | 0.01% |



| Company Name | Premium Amount | Percent |
|-----------------------------------|----------------|---------|
| EVEREST DENALI INS CO | 60,910 | 0.01% |
| ACE FIRE UNDERWRITERS INS CO | 59,982 | 0.01% |
| CITIZENS INS CO OF AMER | 57,634 | 0.01% |
| REPUBLIC FIRE & CAS INS CO | 55,462 | 0.01% |
| MIDDLESEX INS CO | 50,851 | 0.01% |
| AMERICAN BUILDERS INS CO | 49,895 | 0.01% |
| ALLMERICA FIN ALLIANCE INS CO | 48,111 | 0.01% |
| FOREMOST SIGNATURE INS CO | 47,632 | 0.01% |
| ALASKA NATL INS CO | 42,093 | 0.01% |
| THE CINCINNATI INS CO | 37,282 | 0.01% |
| LION INS CO | 35,782 | 0.01% |
| MIDWEST EMPLOYERS CAS CO | 34,842 | 0.01% |
| DAKOTA TRUCK UNDERWRITERS | 33,522 | 0.01% |
| PETROLEUM CAS CO | 29,634 | 0.00% |
| BANKERS STANDARD INS CO | 28,929 | 0.00% |
| AMERICAN SELECT INS CO | 28,048 | 0.00% |
| TRANSGUARD INS CO OF AMER INC | 26,982 | 0.00% |
| NETHERLANDS INS CO THE | 26,793 | 0.00% |
| LEXINGTON INS CO | 25,709 | 0.00% |
| UTICA MUT INS CO | 24,892 | 0.00% |
| STONINGTON INS CO | 23,589 | 0.00% |
| TRANS PACIFIC INS CO | 22,732 | 0.00% |
| SOMPO AMER FIRE & MAR INS CO AMER | 21,072 | 0.00% |
| LIBERTY MUT INS CO | 17,945 | 0.00% |
| RIVERPORT INS CO | 15,605 | 0.00% |
| FCCI INS CO | 14,082 | 0.00% |
| FOREMOST PROP & CAS INS CO | 13,756 | 0.00% |
| WEST BEND MUT INS CO | 10,312 | 0.00% |
| TNUS INS CO | 8,879 | 0.00% |
| SOUTHERN INS CO | 6,922 | 0.00% |



| Company Name | Premium Amount | Percent | | | |
|----------------------------------|----------------|---------|--|--|--|
| OBI AMER INS CO | 6,716 | 0.00% | | | |
| SOUTHERN UNDERWRITERS INS CO | 6,462 | 0.00% | | | |
| INSURANCE CO OF N AMER | 6,329 | 0.00% | | | |
| GUIDEONE ELITE INS CO | 6,025 | 0.00% | | | |
| GREENWICH INS CO | 5,118 | 0.00% | | | |
| AMERICA FIRST INS CO | 5,027 | 0.00% | | | |
| AMERICAN STATES INS CO | 3,953 | 0.00% | | | |
| EMPLOYERS NATL INS CO INC | 3,571 | 0.00% | | | |
| NATIONWIDE MUT INS CO | 2,284 | 0.00% | | | |
| SAMSUNG FIRE & MARINE INS CO LTD | 1,422 | 0.00% | | | |
| WORK FIRST CAS CO | 1,390 | 0.00% | | | |
| SFM MUT INS CO | 1,358 | 0.00% | | | |
| AIU INS CO | 1,127 | 0.00% | | | |
| EMPLOYERS COMPENSATION INS CO | 1,080 | 0.00% | | | |
| UNITED CAS & SURETY INS CO | 948 | 0.00% | | | |
| ACE PROP & CAS INS CO | 750 | 0.00% | | | |
| AMERICAN ECONOMY INS CO | 399 | 0.00% | | | |
| NATIONAL TRUST INS CO | 290 | 0.00% | | | |
| WESTFIELD NATL INS CO | -419 | 0.00% | | | |
| ARGONAUT GREAT CENTRAL INS CO | -547 | 0.00% | | | |
| ILLINOIS NATL INS CO | -1,185 | 0.00% | | | |
| PEERLESS INS CO | -1,452 | 0.00% | | | |
| AIG ASSUR CO | -1,556 | 0.00% | | | |
| WAUSAU UNDERWRITERS INS CO | -2,023 | 0.00% | | | |
| PEERLESS IND INS CO | -5,441 | 0.00% | | | |
| FIDELITY & GUAR INS CO | -5,837 | 0.00% | | | |
| AMERICAN INS CO | -6,022 | 0.00% | | | |
| ALL AMER INS CO | -11,545 | 0.00% | | | |
| ASSOCIATED IND CORP | -11,700 | 0.00% | | | |
| SILVER OAK CAS INC | -21,563 | 0.00% | | | |



| Company Name | Premium Amount | Percent |
|----------------------------------|----------------|---------|
| PACIFIC EMPLOYERS INS CO | -25,513 | 0.00% |
| UNITED STATES FIDELITY & GUAR CO | -30,135 | 0.00% |
| WAUSAU BUSINESS INS CO | -65,811 | -0.01% |
| ACIG INS CO | -152,693 | -0.02% |
| AMERICAN HOME ASSUR CO | -248,498 | -0.04% |
| Grand Total: | 662,455,377 | |



Appendix 3—Filings by Part of Body, Distributed by Gender

| Part of Body | _ | | | Gende | er of Injured | d or Ill Worke | ers | |
|--------------------|--------|---------|--------|---------|---------------|----------------|--------|---------|
| Affected | 10 | tal | Ma | le | Fem | Female Unkno | | |
| Ancelea | Number | Percent | Number | Percent | Number | Percent | Number | Percent |
| Abdomen | 35 | 0.44% | 25 | 0.48% | 10 | 0.37% | | 0.00% |
| Ankle Left | 71 | 0.89% | 44 | 0.85% | 27 | 0.99% | ò | 0.00% |
| Ankle Right | 79 | 1.00% | 49 | 0.94% | 30 | 1.10% | ò | 0.00% |
| Ankle UNS | 8 | 0.10% | 3 | 0.06% | 5 | 0.18% |) | 0.00% |
| Ankle Both | 9 | 0.11% | 5 | 0.10% | 4 | 0.15% | | 0.00% |
| Arm Left | 126 | 1.59% | 87 | 1.67% | 39 | 1.43% | | 0.00% |
| Arm Right | 157 | 1.98% | 93 | 1.79% | 64 | 2.34% | | 0.00% |
| Arm UNS | 5 | 0.06% | 3 | 0.06% | 2 | 0.07% | | 0.00% |
| Arm Upper Left | 9 | 0.11% | 8 | 0.15% | 1 | 0.04% | | 0.00% |
| Arm Upper Right | 14 | 0.18% | 12 | 0.23% | 2 | 0.07% |) | 0.00% |
| Arm Upper UNS | 6 | 0.08% | 4 | 0.08% | 2 | 0.07% |) | 0.00% |
| Arms both | 46 | 0.58% | 22 | 0.42% | 24 | 0.88% | | 0.00% |
| Arms Upper Both | - | 0.00% | - | 0.00% | - | 0.00% | ò | 0.00% |
| Back Lower | 484 | 6.10% | 322 | 6.20% | 162 | 5.93% | ò | 0.00% |
| Back Middle | 31 | 0.39% | 13 | 0.25% | 18 | 0.66% | ò | 0.00% |
| Back UNS | 672 | 8.47% | 429 | 8.26% | 243 | 8.89% | ò | 0.00% |
| Back Upper | 41 | 0.52% | 21 | 0.40% | 20 | 0.73% | | 0.00% |
| Body Parts UNS | 30 | 0.38% | 20 | 0.38% | 0.38% 6 0.22 | | 4 | 57.14% |
| Body System | 17 | 0.21% | 14 | 0.27% | 3 | 0.11% | ò | 0.00% |
| Brain | 21 | 0.26% | 17 | 0.33% | 4 | 0.15% | ò | 0.00% |
| Chest | 59 | 0.74% | 44 | 0.85% | 15 | 0.55% | j. | 0.00% |
| Circulatory System | 8 | 0.10% | 7 | 0.13% | 1 | 0.04% | ò | 0.00% |
| Digestive System | 3 | 0.04% | 3 | 0.06% | - | 0.00% | | 0.00% |
| Ear Inside Left | 2 | 0.03% | 2 | 0.04% | - | 0.00% | ò | 0.00% |
| Ear Inside Right | - | 0.00% | - | 0.00% | - | 0.00% | ò | 0.00% |
| Ear Inside Uns | - | 0.00% | - | 0.00% | - | 0.00% |) | 0.00% |
| Ear Outside Left | 3 | 0.04% | 3 | 0.06% | - | 0.00% | ò | 0.00% |
| Ear Outside Right | - | 0.00% | - | 0.00% | - | 0.00% | ò | 0.00% |
| Ears Inside Both | 35 | 0.44% | 32 | 0.62% | 3 | 0.11% | ò | 0.00% |
| Ears Outside Both | 1 | 0.01% | 1 | 0.02% | - | 0.00% | ò | 0.00% |
| Ears Outside UNS | - | 0.00% | - | 0.00% | - | 0.00% | | 0.00% |
| Ears UNS | 1 | 0.01% | 1 | 0.02% | - | 0.00% | ò | 0.00% |
| Elbow Left | 39 | 0.49% | 27 | 0.52% | 12 | 0.44% | | 0.00% |
| Elbow Right | 51 | 0.64% | 33 | 0.64% | | 0.66% | | 0.00% |
| Elbows UNS | 10 | 0.13% | 4 | 0.08% | 6 | 0.22% | ò | 0.00% |
| Elbows Both | 8 | 0.10% | 3 | 0.06% | 5 | 0.18% | ò | 0.00% |
| Excretory System | 2 | 0.03% | 2 | 0.04% | | 0.00% | | 0.00% |
| Eye Left | 23 | 0.29% | 17 | 0.33% | 6 | 0.22% | | 0.00% |
| Eye Right | 12 | 0.15% | 12 | 0.23% | - | 0.00% | ò | 0.00% |
| Eye UNS | 3 | 0.04% | 3 | 0.06% | - | 0.00% | | 0.00% |
| Eyes Both | 12 | 0.15% | 10 | 0.19% | 2 | 0.07% | | 0.00% |



Appendix 3—Filings by Part of Body, Distributed by Gender

| Part of Body | _ | | | Gende | er of Injured | d or Ill Work | ers | |
|----------------------------|----------|---------|--------------------|---------|---------------|---------------|--------|---------|
| Affected | lo | tal | Male Female Unknov | | | own | | |
| Ancelea | Number | Percent | Number | Percent | Number | Percent | Number | Percent |
| Face UNS | 48 | 0.60% | 33 | 0.64% | 15 | 0.55% | | 0.00% |
| Feet | 36 | 0.45% | 24 | 0.46% | 12 | 0.44% | | 0.00% |
| Fingers | 46 | 0.58% | 29 | 0.56% | 17 | 0.62% | | 0.00% |
| Fingers Left Hand | 164 | 2.07% | 131 | 2.52% | | 1.21% | | 0.00% |
| Fingers Right Hand | 179 | 2.26% | 140 | 2.69% | | 1.43% | | 0.00% |
| Foot Left | 155 | 1.95% | 107 | 2.06% | | 1.76% | | 0.00% |
| Foot Right | 168 | 2.12% | 108 | 2.08% | | 2.20% | | 0.00% |
| Foot UNS | 8 | 0.10% | 7 | 0.13% | | 0.04% | | 0.00% |
| Forearm Left | 25 | 0.32% | 20 | 0.38% | | 0.18% | | 0.00% |
| Forearm Right | 12 | 0.15% | 10 | 0.19% | | 0.07% | | 0.00% |
| Forearm UNS | 2 | 0.03% | 1 | 0.02% | | 0.04% | | 0.00% |
| Forearms Both Hand Left | 1 243 | 0.01% | - 176 | 0.00% | | 0.04% | | 0.00% |
| | | | | 3.39% | | | | 0.00% |
| Hand Right Hand UNS | 284 8 | 3.58% | 178 | 3.43% | | 3.88% | | 0.00% |
| Hands Both | ° 258 | 0.10% | 123 | 0.12% | | 0.07% | | 0.00% |
| Head | 305 | 3.84% | 123 | 3.70% | | 4.54% | | 0.00% |
| Hip UNS | 303 | 0.45% | 26 | 0.50% | | 0.37% | | 0.00% |
| Hip Left | 54 | 0.68% | 35 | 0.50% | | 0.70% | | 0.00% |
| Hip Right | 72 | 0.03% | 44 | 0.85% | | 1.02% | | 0.00% |
| Hips Both | 18 | 0.23% | 11 | 0.21% | | 0.26% | | 0.00% |
| Jaw | 5 | 0.06% | 4 | 0.08% | | 0.04% | | 0.00% |
| Knee Left | 334 | 4.21% | 190 | 3.66% | | 5.27% | | 0.00% |
| Knee Right | 380 | 4.79% | 239 | 4.60% | | 5.16% | | 0.00% |
| Knee UNS | 44 | 0.55% | 28 | 0.54% | | 0.59% | | 0.00% |
| Knees Both | 94 | 1.18% | 50 | 0.96% | 44 | 1.61% | | 0.00% |
| Leg Left | 141 | 1.78% | 101 | 1.94% | 40 | 1.46% | | 0.00% |
| Leg Lower Left | 21 | 0.26% | 17 | 0.33% | 4 | 0.15% | | 0.00% |
| Leg Lower Right | 31 | 0.39% | 24 | 0.46% | 7 | 0.26% | | 0.00% |
| Leg Lower UNS | 2 | 0.03% | 1 | 0.02% | 1 | 0.04% | | 0.00% |
| Leg Right | 131 | 1.65% | 89 | 1.71% | 42 | 1.54% | | 0.00% |
| Leg UNS | 9 | 0.11% | 6 | 0.12% | 3 | 0.11% | | 0.00% |
| Legs Both | 34 | 0.43% | 26 | 0.50% | 8 | 0.29% | | 0.00% |
| Legs Lower Both | 2 | 0.03% | 2 | 0.04% | - | 0.00% | | 0.00% |
| Lower Body UNS | 1 | 0.01% | 1 | 0.02% | - | 0.00% | | 0.00% |
| Lower Extremities UNS | 1 | 0.01% | | 0.02% | - | 0.00% | | 0.00% |
| Mouth | 16 | 0.20% | | 0.27% | | 0.07% | | 0.00% |
| Multiple Parts | 79 | 1.00% | 70 | 1.35% | 9 | 0.33% | | 0.00% |
| Muscoloskeletal | 1 | 0.01% | | 0.02% | | 0.00% | | 0.00% |
| Neck | 471 | 5.94% | 275 | 5.29% | | 7.17% | | 0.00% |
| Nervous system | 11 | 0.14% | | 0.15% | | 0.11% | | 0.00% |
| Nonclassifiable | 43 | 0.54% | | 0.73% | | 0.07% | | 42.86% |
| Nose | 12 | 0.15% | 3 | 0.06% | 9 | 0.33% | | 0.00% |



Appendix 3—Filings by Part of Body, Distributed by Gender

| Part of Body | | Tota | , I | | Gende | er of Injured | d or III Work | ers | | |
|--------------------|-----|------|-----------|--------|-------------------|-------------------|---------------|---------|---------|--|
| , Affected | | 1016 | 11 | Ma | ale | Fem | ale | Unknown | | |
| Ancelea | Nun | nber | Percent | Number | Percent | Number | Percent | Number | Percent | |
| Other Systems | | | 2 0.039 | 6 1 | 0.02% | 5 1 | 0.049 | 6 | 0.00% | |
| Respiratory System | m | 10 | 1 1.279 | 6 84 | 1.62% | i 17 | 0.629 | 6 | 0.00% | |
| Scalp | | | - 0.009 | | 0.00% | - í | 0.009 | 6 | 0.00% | |
| Shoulder Left | | 54 | 6 6.889 | 6 382 | 7.35% | 6 164 | 6.009 | 6 | 0.00% | |
| Shoulder Right | | 65 | 8 8.299 | 6 430 | 8.28% | 6 228 | 8.349 | 6 | 0.00% | |
| shoulder UNS | | 3 | 4 0.439 | 6 25 | 0.48% | 6 9 | 0.339 | 6 | 0.00% | |
| Shoulders Both | | 13 | 4 1.699 | 6 89 | 1.71% | 6 45 | 1.659 | 6 | 0.00% | |
| Side Left | | | 4 0.059 | 6 3 | 0.06% | 6 1 | 0.049 | 6 | 0.00% | |
| Side Right | | | 5 0.069 | 6 4 | 0.08% | 6 1 | 0.049 | 6 | 0.00% | |
| Side UNS | | | - 0.009 | - 6 | 0.00% | - í | 0.009 | 6 | 0.00% | |
| Skull | | | - 0.009 | - 6 | 0.00% | <u> </u> | 0.009 | 6 | 0.00% | |
| Thigh Left | | 4 | 3 0.549 | 6 34 | 0.65% | ő 9 | 0.339 | 6 | 0.00% | |
| Thigh Right | | 2 | 6 0.339 | 6 17 | 0.33% | ő 9 | 0.339 | 6 | 0.00% | |
| Thigh UNS | | | 1 0.019 | - 6 | 0.00% | 6 1 | 0.049 | 6 | 0.00% | |
| Thighs Both | | | 2 0.039 | 6 1 | 0.02% | 6 1 | 0.049 | 6 | 0.00% | |
| Toes Left Foot | | | 9 0.119 | 6 6 | 0.12% | <u>5</u> 3 | 0.119 | 6 | 0.00% | |
| Toes Right Foot | | 1 | 2 0.159 | 6 10 | 0.19% | <u>5</u> 2 | 0.079 | 6 | 0.00% | |
| Toes UNS | | | 1 0.019 | 6 1 | 0.02% | <u> </u> | 0.009 | 6 | 0.00% | |
| Trunk UNS | | 1 | 4 0.189 | 6 10 | 0.19% | ώ 4 | 0.159 | 6 | 0.00% | |
| Upper Extremes U | JNS | 1 | 6 0.209 | 6 10 | 0.19% | 6 6 | 0.229 | 6 | 0.00% | |
| Wrist Left | | 7 | 6 0.969 | 6 42 | 0.81% | 5 34 | 1.249 | 6 | 0.00% | |
| Wrist Right | | 8 | 4 1.069 | 6 44 | 44 0.85% 40 1.46% | | 6 | 0.00% | | |
| Wrist UNS | | 1 | 1 0.149 | 6 5 | 0.10% | 6 0.22% | | 6 | 0.00% | |
| Wrists Both | | 3 | 8 0.489 | 6 13 | 0.25% | 6 25 0 .91 | | 6 | 0.00% | |
| Totals | | 793 | 5 100.009 | 6 5195 | 100.00% | 2 733 | 100.009 | 6 7 | 100.00% | |



Appendix 4—Filings by Cause of Injury Gender Total Nature of Injury Female Unknown Male Percent Number Percent Number Percent Number Percent Number All other injuries - NEC 3895 49.09% 46.74% 1467 0.00% 2428 53.68% All other Cumulative Injuries NEC 730 9.20% 397 7.64% 333 0.00% 12.18% 17 0.00% All Other Occupational Disease NEC 0.21% 13 0.25% 4 0.15% Amputation 59 0.74% 55 1.06% 4 0.15% 0.00% 2 0.04% Asbestosis 0.03% 2 0.00% 0.00% 0.01% 0.02% 0.00% 0.00% Asphyxiation 1 1 0.00% 0.00% Black Lung 1 0.01% 0.02% 1 Burn 95 1.20% 71 1.37% 0.88% 0.00% 24 Cancer 5 0.06% 5 0.10% 0.00% 0.00% Carpal Tunnel Syndrome 107 1.35% 0.83% 2.34% 0.00% 43 64 Concussion 32 0.40% 24 0.46% 8 0.29% 0.00% **Contagious Disease** 0.00% 0.00% 0.00% 0.00% Contusion 121 1.52% 68 1.31% 53 1.94% 0.00% 1.55% 2.08% Crushing 123 108 0.00% 15 0.55% Dermatitis 0.00% 0.00% 0.00% 0.00% Dislocation 46 0.58% 39 0.75% 7 0.26% 0.00% Dust Disease NOC 2 0.03% 1 0.02% 1 0.04% 0.00% Electric Shock 24 3 0.00% 0.30% 21 0.40% 0.11% Enucleation 1 0.01% 1 0.02% 0.00% 0.00% 0.00% Foreign Body 13 0.16% 12 0.23% 1 0.04% Fracture 681 8.58% 478 9.20% 7.43% 0.00% 203 0.00% 0.00% 0.00% 0.00% Freezing Hearing Loss/Traumatic 26 0.33% 25 0.48% 0.04% 0.00% 1 Heath Prostration 0.00% 15 0.19% 14 0.27% 1 0.04% Hernia 0.30% 0.31% 0.00% 24 8 0.29% 16 Infection 0.21% 5 0.00% 16 0.20% 11 0.18% Inflammation 12 0.15% 5 0.10% 7 0.26% 0.00% Laceration 237 2.99% 194 3.73% 43 1.57% 0.00% 0.12% 0.00% Loss of Hearing 7 0.09% 0.04% 6 1 Mental Disorder 0.00% 1 0.01% 0.00% 1 0.04% Mental Stress 3 0.04% 0.00% 3 0.11% 0.00% Multiple Injury - Physical & Psycholc 1 0.01% 1 0.02% 0.00% 0.00% Multiple Injury - Physical Only 37 0.47% 0.00% 31 0.60% 6 0.22% Myocardial Infarction 4 0.05% 3 0.06% 1 0.04% 0.00% 44 0.55% 7 100.00% No Physical Injury 36 0.69% 1 0.04% 4 0.05% 3 0.06% 0.04% 0.00% Poisoning - General NEC 1 Poisoning - Chemical (Not Metal) 7 0.09% 3 0.06% 4 0.15% 0.00% Poisoning- Metal 0.00% 0.00% 0.00% 0.00% Puncture 39 0.49% 31 0.60% 8 0.29% 0.00% 22 6 0.00% Respiratory Disorder/Gas, Fum 0.28% 16 0.31% 0.22% 424 305 0.00% Rupture 5.34% 5.87% 119 4.35% Severance 2 0.03% 2 0.04% 0.00% 0.00% Silicosis 3 0.04% 3 0.06% 0.00% 5.78% 5.81% Sprain 459 5.74% 0.00% 302 157 588 417 8.03% 0.00% Strain 7.41% 171 6.26% Swoon, Faint, Etc. - No Other Injury 0.03% 0.02% 0.04% 2 1 1 Vision Loss 3 0.04% 2 0.04% 1 0.04% 0.00% TOTAL 7935 100.00% 5195 100.00% 7 100.00% 2733 100.00%



| | | | Gender | | | | | | | |
|---------------------------------------|-------|---------|--------|---------|-------|---------|---------|---------|--|--|
| Injury Cause | Total | | Male | | Fema | e | Unknown | | | |
| | Count | Percent | Count | Percent | Count | Percent | Count | Percent | | |
| Burn or Scald - Heat or Cold | 244 | 3.07% | 185 | 3.56% | 59 | 2.16% | - | 0.00% | | |
| Chemicals | 41 | 0.52% | 30 | 0.58% | 11 | 0.40% | | 0.00% | | |
| Contact, Hot Object or Substances | 37 | 0.47% | 25 | 0.48% | 12 | 0.44% | | 0.00% | | |
| Cold Objects or Substances | - | 0.00% | - | 0.00% | - | 0.00% | - | 0.009 | | |
| Temperature Extremes | 16 | 0.20% | 14 | 0.27% | 2 | 0.07% | - | 0.009 | | |
| Fire or Flame | 13 | 0.16% | 13 | 0.25% | - | 0.00% | - | 0.009 | | |
| Steam or Hot Fluids | | 0.00% | - | 0.00% | | 0.00% | | 0.009 | | |
| Dust, Gases, Fumes | 3 | 0.04% | 2 | 0.04% | 1 | 0.04% | - | 0.009 | | |
| Welding Operations | 3 | 0.04% | 3 | 0.06% | - | 0.00% | - | 0.009 | | |
| Radiation | 1 | 0.01% | 1 | 0.02% | - | 0.00% | | 0.009 | | |
| Abnormal Air Pressure | 4 | 0.05% | 3 | 0.06% | 1 | 0.04% | | 0.009 | | |
| Electrical Current | 24 | 0.30% | 20 | 0.38% | | 0.15% | - | 0.009 | | |
| Contact with NOC | 102 | 1.29% | 74 | 1.42% | 28 | 1.02% | | 0.009 | | |
| Country Hadan an Batanan | 540 | 6.000 | | 0.05% | 4.24 | 4 70% | | 0.000 | | |
| Caught In, Under or Between | 549 | 6.92% | | 8.05% | | 4.79% | - | 0.009 | | |
| Machinery | 25 | 0.32% | | 0.46% | | 0.04% | - | - | | |
| Object Handled | 269 | 3.39% | | 3.58% | | 3.04% | | - | | |
| Collapsing Materials | 1 | 0.01% | | 0.02% | | 0.00% | - | - | | |
| Caught In/Between NOC | 254 | 3.20% | 207 | 3.98% | 47 | 1.72% | | - | | |
| Cut, Puncture, Scrape | 282 | 3.55% | 223 | 4.29% | 59 | 2.16% | - | 0.009 | | |
| Cut/Scrape by Broken Glass | 5 | 0.06% | 4 | 0.08% | 1 | 0.04% | | - | | |
| Hand Tool, Not Powered | 55 | 0.69% | 46 | 0.89% | 9 | 0.33% | | - | | |
| Object Being Lifted or Handled | 116 | 1.46% | 80 | 1.54% | 36 | 1.32% | | - | | |
| Powered Hand Tool | 45 | 0.57% | 40 | 0.77% | 5 | 0.18% | | - | | |
| Cut/Puncture/Scrape NOC | 61 | 0.77% | 53 | 1.02% | 8 | 0.29% | | - | | |
| Fall, Slip or Trip Injury | 2009 | 25.32% | 1148 | 22.10% | 861 | 31.50% | - | 0.009 | | |
| Fall/Slip - Different Level | 295 | 3.72% | 252 | 4.85% | 43 | 1.57% | | - | | |
| Fall/Slip - From Ladder, Scaffold | 193 | 2.43% | 167 | 3.21% | 26 | 0.95% | | - | | |
| Fall/Slip - From Liquid Grease Spills | 172 | 2.17% | 50 | 0.96% | 122 | 4.46% | | - | | |
| Fall/Slip - Into Opening | 79 | 1.00% | 69 | 1.33% | 10 | 0.37% | | - | | |
| Fall/Slip - On Same Level | 67 | 0.84% | 25 | 0.48% | 42 | 1.54% | | - | | |
| Slipped, Did not Fall | 8 | 0.10% | 6 | 0.12% | 2 | 0.07% | | - | | |
| Fall/Slip - On Ice or Snow | 68 | 0.86% | | | | 1.02% | | - | | |
| Fall/Slip - On Stairs | 112 | 1.41% | 58 | 1.12% | 54 | 1.98% | | - | | |
| Fall/Slip/Trip NOC | 1015 | 12.79% | | 9.26% | 534 | 19.54% | | - | | |
| Motor Vehicle | 443 | 5.58% | 351 | 6.76% | 92 | 3.37% | | 0.00 | | |
| Crash of Water Vehicle | 644 | 0.00% | - | 0.00% | | 0.00% | | 0.002 | | |
| Crash of Rail Vehicle | | 0.00% | - | 0.00% | | 0.00% | | - | | |
| Motor Vehicle/Collision | 399 | 5.03% | - | 6.04% | | 3.11% | | - | | |
| Fixed Object/Collision | - | | - | | | | | - | | |
| 2 · · · | 1 | 0.01% | | | | 0.00% | | - | | |
| Airplane Crash | 24 | 0.00% | | 0.00% | | 0.00% | | - | | |
| Vehicle Upset Motor Vehicle NOC | 24 | 0.30% | | | | 0.11% | | - | | |



Appendix 4—Filings by Cause of Injury

| | Total | | | | | Gend | er | | |
|-------------------------------------|-------|-------|-----|-------|---------|-------|---------|-------|---------|
| Injury Cause | Total | [| | Male | | Fema | le | Unkn | own |
| | Count | Perce | nt | Count | Percent | Count | Percent | Count | Percent |
| | | | | | | | | | |
| Strain or Injury By | 1182 | 14.9 | 0% | 768 | 14.78% | 414 | 15.15% | - | 0.00% |
| Continual Noise | 31 | 0.3 | | 29 | 0.56% | 2 | 0.07% | - | - |
| Twisting | 152 | 1.9 | 2% | 106 | 2.04% | 46 | 1.68% | - | - |
| Jumping | 26 | 0.3 | 3% | 21 | 0.40% | 5 | 0.18% | - | - |
| Holding or Carrying | 48 | 0.6 | 0% | 36 | 0.69% | 12 | 0.44% | | - |
| Lifting | 358 | 4.5 | 1% | 196 | 3.77% | 162 | 5.93% | | - |
| Pushing or Pulling | 290 | 3.6 | 5% | 193 | 3.72% | 97 | 3.55% | | - |
| Reaching | 35 | 0.4 | 4% | 20 | 0.38% | 15 | 0.55% | | - |
| Using Tool or Machine | 141 | 1.7 | 8% | 125 | 2.41% | 16 | 0.59% | | - |
| Wielding/Throwing | 2 | 0.0 | 3% | 1 | 0.02% | 1 | 0.04% | - | - |
| Repetitive Motion | 99 | 1.2 | 5% | 41 | 0.79% | 58 | 2.12% | | - |
| Strain/Injury, NOC | | 0.0 | 0% | | 0.00% | | 0.00% | | - |
| | | | | | | | | | |
| Striking Against or Stepping On | 484 | | .0% | 320 | 6.16% | | 6.00% | - | 0.00% |
| Striking/Stepping on Moving Parts | 2 | | 3% | - | 0.00% | | 0.07% | | - |
| Lifted/Handled Object | 390 | 4.9 | 1% | 256 | 4.93% | 134 | 4.90% | | - |
| Sand, Scrap, Clean OPR | | 0.0 | 0% | | 0.00% | | 0.00% | | - |
| Stationary Object | | 0.0 | 0% | | 0.00% | | 0.00% | | - |
| Step on Sharp Object | 3 | 0.0 | 4% | 3 | 0.06% | - | 0.00% | | - |
| Strike Against/Step on, NOC | 89 | 1.1 | .2% | 61 | 1.17% | 28 | 1.02% | | - |
| Struck or Injured By | 942 | 11.8 | 7% | 646 | 12.44% | 296 | 10.83% | - | 0.00% |
| Person (Not in the Act of a Crime) | 163 | 2.0 | 5% | 37 | 0.71% | 126 | 4.61% | | - |
| Struck/Injured by Falling Object | 258 | 3.2 | 5% | 200 | 3.85% | 58 | 2.12% | | - |
| Hand Tool/Machine in Use | 11 | 0.1 | 4% | 10 | 0.19% | 1 | 0.04% | | - |
| Motor Vehicle | 53 | 0.6 | 7% | 42 | 0.81% | 11 | 0.40% | | - |
| Machine Moving Parts | 49 | 0.6 | 2% | 41 | 0.79% | 8 | 0.29% | - | - |
| Lifted or Handled Object | 6 | 0.0 | 8% | 5 | 0.10% | 1 | 0.04% | | - |
| Object Handled by Others | 7 | 0.0 | 9% | 5 | 0.10% | 2 | 0.07% | - | - |
| Animal or Insect | 55 | 0.6 | 9% | 41 | 0.79% | 14 | 0.51% | - | - |
| Explosion/Flare Back | 25 | 0.3 | 2% | 25 | 0.48% | - | 0.00% | - | - |
| Struck/Injured by, NOC | 315 | 3.9 | 7% | 240 | 4.62% | 75 | 2.74% | | - |
| | | | | | | | | | |
| Rubbed or Abraded by | 2 | 0.0 | 3% | 0 | 0.00% | 2 | 0.07% | 0 | 0.00% |
| Repetitive Motion | 2 | 0.0 | 3% | | 0.00% | 2 | 0.07% | | 0.00% |
| Rubbed/Abraded NOC | | 0.0 | 0% | | 0.00% | | 0.00% | | 0.00% |
| | | | | | | | | | |
| Miscellaneous Causes | 1798 | 22.6 | 6% | 1136 | 21.87% | 655 | 23.97% | 7 | 100.00% |
| Absorption/Ingestion/Inhalation, NC | 43 | 0.5 | 4% | 33 | 0.64% | 10 | 0.37% | 0 | 0.00% |
| Foreign Body in Eye | 20 | 0.2 | 5% | 18 | 0.35% | 2 | 0.07% | 0 | 0.00% |
| Person (Criminal Act) | 108 | 1.3 | 6% | 81 | 1.56% | 27 | 0.99% | 0 | 0.00% |
| Other than Physical | | 0.0 | 0% | | 0.00% | | 0.00% | | 0.00% |
| Cumulative (All Others) | 736 | 9.2 | 8% | 397 | 7.64% | 339 | 12.40% | 0 | 0.00% |
| Other Misc. Causes | 891 | 11.2 | 3% | 607 | 11.68% | 277 | 10.14% | 7 | 100.00% |
| | | | | | | | | | |
| Totals | 7935 | 100.3 | 2% | 5195 | 65.47% | 2733 | 34.44% | 7 | 0.09% |



Appendix 5—Filings by NAICS Sector

| NAICS Sectors | Count | Percent |
|---|-------|----------|
| Agriculture, Forestry, Fishing, Hunting | 94 | 1.18% |
| nat-1 | | 5.60% |
| Mining | 446 | 5.62% |
| Utilities | 58 | 0.73% |
| othice | | 0.7570 |
| Construction | 745 | 9.39% |
| | | |
| Manufacturing | 1149 | 14.48% |
| | | |
| Wholesale Trade | 350 | 4.41% |
| D-4-117 | 014 | 44.500/ |
| Retail Trade | 914 | 11.52% |
| Transportation & Warehousing | 467 | 5.89% |
| | | 0.0570 |
| Information | 74 | 0.93% |
| | | |
| Finance & Insurance | 74 | 0.93% |
| | | |
| Real Estate, Rental & Leasing | 140 | 1.76% |
| Desfaurie al Crientifie & Technical Comises | 103 | 1.20% |
| Professional, Scientific & Technical Services | 103 | 1.30% |
| Management of Companies & Enterprises | 13 | 0.16% |
| management of companies of Enterprises | | 0.120.70 |
| Administrative Support, Waste Managmeent & Remediation Services | 486 | 6.12% |
| | | |
| Educational Services | 488 | 6.15% |
| | | |
| Health Care & Social Assistance | 808 | 10.18% |
| Arts Entertainment & Descention | 70 | 1.00% |
| Arts, Entertainment & Recreation | 79 | 1.00% |
| Accommodation & Food Services | 369 | 4.65% |
| | | 110070 |
| Other services (Except Public Administration) | 208 | 2.62% |
| | | |
| Public Administration | 870 | 10.96% |
| | | |
| Nonclassifiable | 0 | 0.00% |
| 707410 | | |
| TOTALS | 7935 | 100% |



Appendix 6—Orders of Administrative Law Judges

| Order Type | Curtin | Egan | Inhofe | Lawyer | McMillin | Total |
|-------------------------------------|--------|------|--------|--------|----------|-------|
| | | | | | | |
| 3C Dismiss or Denial | 2 | 4 | 5 | 2 | 1 | |
| Form 18 | | | | | | - |
| Lifting Abeyance | | 1 | 1 | | 5 | 7 |
| Abeyance | 4 | 6 | 3 | 2 | 8 | 23 |
| Appeal Code Needed | | | | | | - |
| App Aff/Par Vac/Rem | | 1 | | | | 1 |
| Certification | | 1 | | | | 1 |
| Continuing Medical Maintenance | | | 1 | | 2 | 3 |
| Compensability | 27 | 44 | 44 | 42 | 55 | 212 |
| Consolidation and Deletion of Claim | | | | | | - |
| Consolidation-All | 8 | 6 | 12 | 6 | 5 | 37 |
| Consolidation-Trial Only | 19 | 21 | 26 | 23 | 8 | 97 |
| Consolidation Denied | | | | | | - |
| Change of Physician | 6 | 11 | 10 | 8 | 6 | 41 |
| Chg. Of Phys - Employee | 385 | 452 | 342 | 271 | 349 | 1799 |
| Denial Continuing Medical | 2 | 7 | 9 | 3 | 11 | 32 |
| Death Benefits | 19 | 15 | 3 | 7 | 15 | 59 |
| Death Compensability | | | | | | - |
| Claim Denied | 107 | 131 | 100 | 53 | 103 | 494 |
| Deny/Barred | | | | | | - |
| Denial COC Worse | | | 1 | | | 1 |
| Denial Death Benefit | 6 | 3 | 2 | | 2 | 13 |
| Disfigurement | 2 | 2 | 2 | 5 | 2 | 13 |
| Dismiss Claim | | 1 | | | | 1 |
| Dismiss w/o Prejudice | 52 | 31 | 33 | 31 | 31 | 178 |
| Disqualification | 7 | | 3 | | 1 | 11 |
| Dismiss Misc. | | 1 | | 2 | 69 | 72 |
| Dismiss w/Prejudice | 62 | 96 | 98 | 58 | | 314 |
| Attorney Fees | | 2 | 1 | | | 3 |
| Insurance Compliance | 1 | 2 | | | 5 | 8 |
| Ins. Compliance Penalty | 3 | 2 | 3 | 2 | 3 | 13 |
| Joint Petition | 1152 | 1857 | 1116 | 966 | 1296 | 6387 |
| Jurisdiction | 1 | | 2 | | 4 | 7 |
| Med. Case Mgmt Appr. | 2 | 8 | 5 | 2 | 5 | 22 |
| Independent Medical Exam | 302 | 310 | 325 | 234 | 298 | |
| IME Denial | | | | | | - |
| Order for medical examiner | | | | | | - |
| IME Supplemental | | | | | | - |
| Mandate Dismissed | | | | | | - |



Appendix 6—Orders of Administrative Law Judges

| Order Type | Curtie | Fran | Inhofe | Launier | McMillin | Total |
|---------------------------------|--------|------|--------|---------|----------|-------|
| Order Type Medical Treatment | Curtin | Egan | | Lawyer | | |
| Medical Treatment | 42 | 41 | 47 | 35 | 68 | 233 |
| Med Treatment Deny | 47 | 48 | 50 | 15 | 34 | 194 |
| IME Req by Unknown | | | | | | - |
| Medical Payment | 9 | 9 | 3 | 2 | 11 | 34 |
| Medical Payment Deny | 200 | | 0.75 | 100 | 2.40 | - |
| Order for Mediation | 380 | 440 | 375 | 192 | 349 | 1736 |
| MIF PTD | 19 | 17 | 22 | 4 | 20 | 82 |
| MIF Deny PTD | 4 | 1 | 1 | | 3 | 9 |
| MIF Increase | | | | 100 | | - |
| MIF Miscellaneous | | | 170 | 100 | | 100 |
| Miscellaneous | 124 | 190 | 173 | | 138 | 625 |
| Nunc Pro Tunc IME | 5 | 2 | 9 | 3 | 1 | 20 |
| Nunc Pro Tunc | 28 | 34 | 25 | 26 | 46 | 159 |
| Pauper Status Granted | | | | | | - |
| Party Add | | | | | | - |
| Party Dismiss | 22 | 24 | 10 | 15 | 21 | 92 |
| PPD | 70 | 99 | 118 | 38 | 77 | 402 |
| PPD Compensability | 1 | | | | | 1 |
| PPD & NE | | | | | | - |
| PPD Denial | 1 | | | 1 | | 2 |
| PTD | 2 | 1 | | 1 | 1 | 5 |
| PTD Denial | | | | | 2 | 2 |
| PPD & TTD Total Comp | | | | | | - |
| Order Term Benefits | | | | | | - |
| Terminate CMM | | | | | | - |
| ΠD | 30 | 49 | 58 | 28 | 50 | 215 |
| TTD Compensability | 12 | 20 | 18 | 14 | 17 | 81 |
| TTD Denial | | 6 | 2 | | 1 | 9 |
| TTD Terminate | 1 | 4 | 5 | 1 | 1 | 12 |
| TTD Extension or Addition | | | | | | - |
| Vacate | 4 | 10 | 12 | 9 | 10 | 45 |
| Vacate Medical Case | | | | | | - |
| Venue Change Denied | | | 1 | | | 1 |
| Venue Change Approve | | 1 | | 10 | 10 | 21 |
| Vacate IME/VRE | | | | | | - |
| Voc Rehab | 1 | | | | 1 | 2 |
| Voc Rehab Evaluation | 7 | 7 | 6 | 2 | | |
| Withdrawal Attorney | 129 | 203 | 179 | 171 | 146 | 828 |
| Extension of Time | | 1 | | | | |
| TOTAL | 3107 | 4222 | 3261 | 2384 | 3299 | 16273 |



Appendix 7—Administrative Law Judge Case Settings

| Turne of Cotting | Administrative Law Judge | | | | | | | | |
|-------------------------------|--------------------------|------|--------|--------|----------|-------|--|--|--|
| Type of Setting | Curtin | Egan | Inhofe | Lawyer | McMillin | Total | | | |
| PTD & PPD | 8 | 14 | 16 | 2 | 8 | 48 | | | |
| PTD W/O PPD | 20 | 9 | 16 | 6 | 9 | 60 | | | |
| PPD W/O PTD | 454 | 516 | 659 | 274 | 395 | 2298 | | | |
| Death | 35 | 23 | 12 | 10 | 17 | 97 | | | |
| TTD w/or w/o Misc. or Motions | 483 | 666 | 722 | 557 | 603 | 3031 | | | |
| Misc. | 464 | 646 | 663 | 390 | 460 | 2623 | | | |
| MIF | 55 | 36 | 59 | 7 | 53 | 210 | | | |
| РНС | 6299 | 6300 | 5433 | 3956 | 5756 | 27744 | | | |
| Admin 19 | 7 | 14 | 2 | 5 | 16 | 44 | | | |
| Total | 7825 | 8224 | 7582 | 5207 | 7317 | 36155 | | | |



| | | 900 | |
|---|-----------------|-----------------|-----------------|
| Description | Budgeted | Allowable | FY18 Actuals |
| Salaries - RegularPay | \$ 2,899,838 | \$ 2,899,838 | \$ 2,537,605 |
| Salaries - Non-Reg Pay | \$ 50,000 | \$ 50,000 | \$ 5,031 |
| Longevity Pay - State Employees | \$ 37,298 | \$ 37,298 | \$ 41,598 |
| Terminal Leave | \$ 5,000 | \$ 5,000 | \$ 34,328 |
| Excess Benefit Allowance | \$ 46,905 | \$ 46,905 | \$ 30,721 |
| Insurance Premium - Health, Life (State Plan) | \$ 528,058 | \$ 528,058 | \$ 476,198 |
| Unemployment Compensation - Payroll | \$ 11,232 | \$ 11,232 | \$ 8,156 |
| Insurance Premium - Workers' Comp | \$ 19,383 | \$ 19,383 | \$ 16,527 |
| Employer Share - FICA | \$ 182,087 | \$ 182,087 | \$ 159,644 |
| Employer Share - MQFE/Fica | \$ 42,834 | \$ 42,834 | \$ 37,336 |
| Employer Share - OPERS | \$ 485,636 | \$ 485,636 | \$ 400,197 |
| Employer Match - Ad Fee St. Annuity | \$ 12,417 | \$ 12,417 | \$ 9,274 |
| State Match - Ad Fee-Def Contr | \$ 8,000 | \$ 8,000 | \$ 10,978 |
| Retirement Savings - Def Contribution Plan | \$ 10,000 | \$ 10,000 | \$ 15,205 |
| Offices of Lawyers | \$ 68,448 | \$ 68,448 | \$ 44,106 |
| Other Legal Expenses | \$ 55,704 | \$ 55,704 | \$ 40,177 |
| Acct, Tax, Books, Payroll Services | \$ 19,200 | \$ 19,200 | \$ 20,280 |
| Building Inspection Serv ices | \$ 100 | \$ 100 | \$ 41 |
| Computer System Design Services | \$ 1,350,000 | \$ 1,350,000 | \$ 4,100 |
| Computer Facilities Mgt Servcies | \$ 5,000 | \$ 5,000 | \$ 793,481 |
| Other Computer Related Services | \$ 204,803 | \$ 204,803 | \$ 201,894 |
| Other Mgt Consutling Servcies | \$ - | \$ - | \$ 6,008 |
| Advertising and Related Services | \$ 500 | \$ 500 | \$ 115 |
| Translation-Interpreting Services | \$ 400 | \$ 400 | \$ - |
| Office Administrative Services | \$ 2,000 | \$ 2,000 | \$ 10,280 |
| Business Support Services | \$ 38,036 | \$ 38,036 | \$ 27,848 |
| Other Business Support Centers | \$ 9,800 | \$ 9,800 | \$ 6,687 |
| Other Business Support Services | \$ 1,000 | \$ 1,000 | \$ 400 |
| Investigation-Security Services | \$ 650 | \$ 650 | \$ 1,014 |
| Educational Services | \$ 1,500 | \$ 1,500 | |
| Other Services - excl. Public Administration | \$ 1,500 | \$ 1,500 | \$ 817 |
| Flexible benefits - Administration | \$ 4,400 | \$ 4,400 | \$ 3,081 |
| In-State Mileage - Motor Vehicles | \$ - | \$ - | \$ 7,482 |
| In-State Meals - Subsistence Expense | \$ - | \$ - | |
| In-State Miscellaneous Charges | \$ - | \$ - | \$ 1,080 |
| Out-of-State Meals - Subsistence | \$ - | \$ - | \$ 177 |
| Outdo State Local Transportation | \$ - | \$ - | \$ 37 |
| Out-of-State Misc. Charges | \$ - | \$ - | \$ 50 |
| Out-of-state Lodging | \$ - | \$ - | \$ 638 |

Appendix 8—WCC Fiscal Year 2018 Budget



| Appendix 8— WCC Fiscal Year 2018 Budget | | | | | | | | | | |
|---|----|----------|----|-----------|----|--------------|--|--|--|--|
| escription | | Budgeted | | Allowable | | FY18 Actuals | | | | |
| Travel Reimbursement - Non State Employees | \$ | - | \$ | - | \$ | 999 | | | | |
| Out-of-State Purchase Trans Cst Agency y Dir | \$ | - | \$ | - | \$ | 356 | | | | |
| In-State Purchase Food Lodging Agency Director | \$ | - | \$ | - | \$ | 246 | | | | |
| Out-of-State Misc. Charges Agency Dir | \$ | - | \$ | - | \$ | 215 | | | | |
| Registration - Agency Direct | \$ | - | \$ | - | \$ | 7,966 | | | | |
| Freight Expense | \$ | - | \$ | - | \$ | 7,868 | | | | |
| Postage | \$ | - | \$ | - | \$ | 40,000 | | | | |
| Telecommunications | \$ | 2,250 | \$ | 2,250 | \$ | 1,156 | | | | |
| Printing & Binding Contrs | \$ | - | \$ | - | \$ | 92 | | | | |
| Advertising and Related Services | \$ | - | \$ | - | \$ | 639 | | | | |
| Informational Services | \$ | 13,898 | \$ | 13,898 | \$ | 12,811 | | | | |
| Bank Service Charges | \$ | - | \$ | - | \$ | 7,191 | | | | |
| ERP System Services | \$ | - | \$ | - | \$ | - | | | | |
| Licenses, Permits, etc. | \$ | - | \$ | - | \$ | 1,000,153 | | | | |
| Membership in Organizations | \$ | - | \$ | - | \$ | 7,293 | | | | |
| Premium - Property or Liability Insurance | \$ | - | \$ | - | \$ | 4,266 | | | | |
| Moving Expenses - General | \$ | - | \$ | - | \$ | 2,190 | | | | |
| Misc. Administration Fee | \$ | - | \$ | - | \$ | 140 | | | | |
| Process Serving Fees | \$ | - | \$ | - | \$ | 262 | | | | |
| Rent of Office Space | \$ | - | \$ | - | \$ | 131,648 | | | | |
| Rent of Other Building Space | \$ | - | \$ | - | \$ | 51,114 | | | | |
| Rent - Equipment and Machinery | \$ | 9,197 | \$ | 9,197 | \$ | 8,663 | | | | |
| Rent - Elec Data Processing Equipment | \$ | 15,107 | \$ | 15,107 | \$ | 12,808 | | | | |
| Rent - Data Processing Software | \$ | 750 | \$ | 750 | \$ | 2,246 | | | | |
| Rent of Motor Vehicles | \$ | - | \$ | - | \$ | 1,058 | | | | |
| Maintenance - Repair - Equipment Vendors | \$ | - | \$ | - | \$ | 1,149 | | | | |
| Maintenance - Rep - DP Equipment - Vendor | \$ | 10,731 | \$ | 10,731 | \$ | 4,631 | | | | |
| Maintenance - Rep - DP Software - Vendor | \$ | 57,300 | \$ | 57,300 | \$ | 57,000 | | | | |
| Housekeeping/Janitorial/Sanitation Supply/Maintenance | \$ | - | \$ | - | \$ | 127 | | | | |
| Maintenance - Repair - Buildings - Grounds In-House | \$ | - | \$ | - | \$ | 62 | | | | |
| Maintenance - Repair - MV Eq. In-House | | | \$ | - | \$ | 44 | | | | |
| Medical Supplies and Materials | \$ | - | \$ | - | \$ | 87 | | | | |
| Safety and Security Supplies | \$ | - | \$ | - | \$ | 389 | | | | |
| Shop Supplies, Materials - Production | \$ | - | \$ | - | \$ | - | | | | |
| Meeting Refreshments | \$ | - | \$ | - | \$ | 1,044 | | | | |
| Office Supplies - Non-Expendable | \$ | 700 | \$ | 700 | \$ | 3,962 | | | | |
| Office Supplies - Expendable | \$ | - | \$ | - | \$ | 43,016 | | | | |
| Data Processing Supplies | \$ | 100 | \$ | 100 | \$ | 17 | | | | |
| Food and Catering Services | \$ | - | \$ | - | \$ | 894 | | | | |
| Educational Supplies | \$ | - | \$ | - | \$ | 768 | | | | |
| Shop Supplies - Non-Production | \$ | - | \$ | - | \$ | 65 | | | | |



| Appendix 8—WCC Fiscal Year 2018 Budget | | | | | | | | | |
|--|----------|-----------|-----------|-----------|--------------|-----------------------|--|--|--|
| Description | Budgeted | | Allowable | | FY18 Actuals | | | | |
| Office Furniture & Equipment | \$ | - | \$ | - | \$ | 1,866 | | | |
| Data Processing Equipment | \$ | 41,042 | \$ | 41,042 | \$ | 18,152 | | | |
| Data Processing Software | \$ | 8,411 | \$ | 8,411 | \$ | 450 | | | |
| Equipment - Telecommunications | \$ | 5,000 | \$ | 5,000 | \$ | 250 | | | |
| Equipment-Furniture-Residential Educ. | \$ | - | \$ | - | \$ | - | | | |
| Equipment - Library | \$ | - | \$ | - | \$ | 128 | | | |
| Library Resources - Textbooks | \$ | - | Ş | - | \$ | 494 | | | |
| Incentive Awards | Ş | - | \$ | - | Ş | 148 | | | |
| Pymts - Collects - Other Jurisdiction | \$ | - | \$ | - | \$ | 82 <mark>,</mark> 518 | | | |
| AFP Encumbrances | \$ | - | \$ | - | \$ | - | | | |
| | | | | | | | | | |
| Total | \$ | 6,266,215 | \$ | 6,266,215 | \$ | 6,471,232 | | | |